

# CalPERS Supplemental Income 457 Plan



California Public Employees' Retirement System (CalPERS)  
 CalPERS Supplemental Income 457 Plan (the "Plan")  
<https://calpers.inplans.com>

P.O. Box 5166  
 Boston, MA 02206-5166  
 1-800-260-0659

## 457 ACCOUNT TRANSFER FORM

(Transfers into the CalPERS 457 Plan from another 457 Plan Provider offered by your Current or Previous Employer)

### I. PARTICIPANT INFORMATION

Last Name		First Name		Middle Initial	
CalPERS ID		Social Security Number		Birth Date	
Mailing Address (number and street)		City		State	Zip Code
Telephone Number (work)		Telephone Number (home)		Email Address	

### II. CURRENT EMPLOYER

Employer Name:	Agency Plan Number: <b>45</b> - _____
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### III. PREVIOUS EMPLOYER (Complete if transferring assets from this employer's Plan Provider)

Employer Name:
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### IV. PROVIDER INFORMATION (Provider from which you are transferring assets)

Plan Provider:	Account Number:
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### V. TRANSFER INSTRUCTIONS (To Provider)

Check the applicable box below

- TOTAL ACCOUNT TRANSFER - Transfer my entire account balance of \$ \_\_\_\_\_ to the CalPERS 457 Plan.  
 (estimated)
- PARTIAL ACCOUNT TRANSFER - Enter a dollar amount or percentage of your total account to be transferred from the Plan Provider named above, to the CalPERS Supplemental Income 457 Plan.

Transfer \$ \_\_\_\_\_ or  \_\_\_\_\_ % from the Funds below in the amount or percentage indicated.

Fund Name	Amount \$	Percentage %
Fund Name	Amount \$	Percentage %
Fund Name	Amount \$	Percentage %

<input type="checkbox"/> Wire Assets to: <b>State Street Bank</b>	ABA#: <b>011000028</b>	Account Name: <b>CalPERS Supplemental Income 457 Plan</b>	Account Number: <b>02820553</b>
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- Make Check Payable to: **CalPERS Supplemental Income 457 Plan, FBO Participant Name**  
 Include SS# & Agency Plan # \_\_\_\_\_ on the check)

### VI. INVESTMENT OPTIONS

On the next page select the fund or a variety of funds to allocate the transfer of your assets. You may choose from a series of Core Funds, Target Retirement Date Funds, and Risk Based Funds.

The Investment Fund Descriptions on page 3 provide some information on each fund. The Fund Performance Report and the Fund Fact Sheets Booklet included in the Enrollment Kit provide the investment type, management type, returns, fees, investment objectives, risks and other details of the various investment fund options under the Plan.

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### VII. INVESTMENT ALLOCATION OF ASSETS

Enter the whole number percentage that you want allocated among the Plan's investments in the table below. Your transfer of assets will be invested according to the allocation percentages you enter. The total of all investment allocations must equal 100%.

**The Target Retirement Date Funds have been designated by the Board as the default investment fund under the Plan. In the absence of an investment selection by you, or if your instructions are not clear, your asset transfer will be invested in the appropriate Target Retirement Date Fund based on your date of birth most closely corresponding with your retirement date assuming you will retire at age 59, using the birth date ranges shown in the table shown on Page 3.**

Fund No.	Fund Name	% Amt.	Fund No.	Fund Name	% Amt.
<b>CALPERS ASSET ALLOCATION FUNDS</b>			<b>CORE INVESTMENT FUNDS</b>		
<b>CalPERS Target Retirement Date Funds</b>			<b>Fixed Income Funds</b>		
1A	CalPERS Target Retirement Date Income Fund	%	20	Short-Term Investment Fund	%
1B	CalPERS Target Retirement Date 2005 Fund	%	47	PIMCO Short-Term Bond Fund	%
1C	CalPERS Target Retirement Date 2010 Fund	%	37	CalPERS Total Return Bond Fund	%
1D	CalPERS Target Retirement Date 2015 Fund	%	36	CalPERS Treasury Inflation Protected Securities Fund	%
1E	CalPERS Target Retirement Date 2020 Fund	%	<b>Equity Funds</b>		
1F	CalPERS Target Retirement Date 2025 Fund	%	40	CalPERS S&P 500 Equity Index Fund	%
1G	CalPERS Target Retirement Date 2030 Fund	%	39	AllianceBernstein Active Large Cap Equity Value Fund	%
1H	CalPERS Target Retirement Date 2035 Fund	%	38	Turner Active Large Cap Equity Growth Fund	%
1I	CalPERS Target Retirement Date 2040 Fund	%	41	CalPERS Small/Mid Cap Equity Index Fund	%
1J	CalPERS Target Retirement Date 2045 Fund	%	54	The Boston Company Small/Mid Value Fund	%
1K	CalPERS Target Retirement Date 2050 Fund	%	53	The Boston Company Small/Mid Growth Fund	%
<b>CalPERS Risk Based Asset Allocation Funds</b>			42	CalPERS International Index Fund	%
8G	CalPERS Conservative Asset Allocation Fund	%	56	Pyramis Select International Fund	%
8H	CalPERS Moderate Asset Allocation Fund	%			
8I	CalPERS Aggressive Asset Allocation Fund	%			
<b>TOTAL PERCENTAGE OF AMOUNT ALLOCATED (MUST EQUAL 100%)</b>					%

This allocation will not affect any current or future investment elections. To make changes to your account such as fund transfers and asset allocation changes, you may access your account online at <https://calpers.inqplans.com> or call the toll-free Plan Information Line at 1-800-260-0659.

### VIII. SIGNATURES REQUIRED

**If transferring assets from a prior employer, check the box below, and enter your separation date.**

- I certify that I have separated from my prior employer and am eligible to transfer the account balance from the provider named in Section IV.

Separation Date

**If transferring assets from your current employer's 457 Plan Provider, check the box below.**

- I authorize the transfer of my current employer's 457 Plan Provider to my CalPERS 457 Plan account.

Participant's Signature

Date

As Employer of the participant named above, I certify that the CalPERS Supplemental Income 457 Plan is another Plan Provider under our 457 plan.

Employer's Signature

Date

**Note: Please attach a copy of your most recent statement from the resigning trustee.**



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## 457 ACCOUNT TRANSFER FORM

### CalPERS Supplemental Income 457 Plan INVESTMENT FUND DESCRIPTIONS

**Target Retirement Date Funds** offer an automatically adjusting mix of investments designed to help build value in the early years and gradually becomes more conservative to protect that value as you approach retirement. Your investments stay tailored to your age and investment time horizon. Each maintains a diversified portfolio utilizing a 'fund of funds' approach that helps reduce the impact of market volatility. They're easy to choose — just select the fund with the date closest to when you anticipate retiring and indicate the percentage value where indicated. Please note that these funds assume a retirement age of 59.

Target Retirement Date Funds are a series of diversified funds each of which has a pre-determined asset mix that will adjust over time until and often beyond the fund's target date (2005, 2010, 2015...2050). The initial asset allocation is adjusted as a "glidepath," or the manner in which the fund will slowly be reallocated over time, across all target retirement dates. This glidepath is designed to reduce the level of risk as the participant approaches retirement. The "target date" refers to the date the participant will reach retirement age, assuming a retirement age of 59. The CalPERS Supplemental Income 457 Plan offers 11 distinct Target Retirement Date Funds (the "Fund" or "Funds") as investment options under the Plan utilizing the concept of diversification through asset allocation. You may select the Fund that most closely matches the year you plan on retiring. The target strategies are a series of premixed investment options that focus on maturity and change as you approach retirement.

The Table below shows the **Target Retirement Date Funds**, including associated birth date range and target retirement date for each fund.

Fund No.	Fund Name	Birth Date Range	Target Retirement Date
1A	CalPERS Target Retirement Date Income Fund	01/01/1900 - 12/31/1944	In Retirement
1B	CalPERS Target Retirement Date 2005 Fund	01/01/1945 - 12/31/1948	2003 through 2007 (In Retirement)
1C	CalPERS Target Retirement Date 2010 Fund	01/01/1949 - 12/31/1953	2008 through 2012 (In or Near Retirement)
1D	CalPERS Target Retirement Date 2015 Fund	01/01/1954 - 12/31/1958	2013 through 2017
1E	CalPERS Target Retirement Date 2020 Fund	01/01/1959 - 12/31/1963	2018 through 2022
1F	CalPERS Target Retirement Date 2025 Fund	01/01/1964 - 12/31/1968	2023 through 2027
1G	CalPERS Target Retirement Date 2030 Fund	01/01/1969 - 12/31/1973	2028 through 2032
1H	CalPERS Target Retirement Date 2035 Fund	01/01/1974 - 12/31/1978	2033 through 2037
1I	CalPERS Target Retirement Date 2040 Fund	01/01/1979 - 12/31/1983	2038 through 2042
1J	CalPERS Target Retirement Date 2045 Fund	01/01/1984 - 12/31/1988	2043 through 2047
1K	CalPERS Target Retirement Date 2050 Fund	01/01/1989 - 12/31/1993	2048 or later

**Risk Based Funds** offer varying asset allocations designed to suit three distinct risk profiles. They provide a mix of investments allocated among different investment classes in pre-established proportions suited to specific investor profiles. By self-identifying your risk tolerance, you can invest in the fund that best suits your profile as a conservative, moderate or more aggressive investor.

The Table below shows the **Risk Based Funds** and associated risk level for each fund.

Fund No.	Fund Name	Risk Level
8G	CalPERS Conservative Asset Allocation Fund	Conservative
8H	CalPERS Moderate Asset Allocation Fund	Moderate
8I	CalPERS Aggressive Asset Allocation Fund	Aggressive

The Plan also offers a line-up of **Core Investment Fund** options for complete asset coverage and the opportunity for a well-diversified portfolio. These Core funds span the risk-return spectrum, without duplication, providing you with a clear-cut choice between investments representing different objectives, risk tolerances, and time horizons. These funds may be attractive for those who wish to more actively manage their portfolio.

The Table below shows the **Core Investment Funds**, including the asset class, category, and risk level for each fund.

Fund No.	Fund Name	Asset Class	Category	Risk Level
20	Short-Term Investment Fund	Cash Equivalent	Short-Term Fixed Income	Conservative
47	PIMCO Short-Term Bond Fund	Bond	Short-Term Bond	Conservative
37	CalPERS Total Return Bond Fund	Bond	Intermediate Bond	Moderate
36	CalPERS Treasury Inflation Protected Securities Fund	Bond	Inflation Protected Bond	Moderate
40	CalPERS S&P 500 Equity Index Fund	Stock	Large Cap Index	Aggressive
39	AllianceBernstein Active Large Cap Equity Value Fund	Stock	Large Cap Value	Aggressive
38	Turner Active Large Cap Equity Growth Fund	Stock	Large Cap Growth	Aggressive
41	CalPERS Small/Mid Cap Equity Index Fund	Stock	Small/Mid Cap Index	Aggressive
54	The Boston Company Small/Mid Value Fund	Stock	Small/Mid Cap Value	Aggressive
53	The Boston Company Small/Mid Growth Fund	Stock	Small/Mid Cap Growth	Aggressive
42	CalPERS International Index Fund	Stock	International Equity Index	Aggressive
56	Pyramis Select International Fund	Stock	International Equity	Aggressive

